

DEPARTMENT OF ENVIRONMENTAL QUALITY
SOLID AND HAZARDOUS WASTE DIVISION

HAZARDOUS WASTE MANAGEMENT

CHAPTER 9
STANDARDS APPLICABLE TO TRANSPORTERS OF HAZARDOUS WASTE

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263/Subpart A Section 1. GENERAL.

263.10 (a) SCOPE.

263.10(a) (i) These rules and regulations establish standards which apply to persons transporting hazardous waste within the United States if the transportation requires a manifest under Chapter 8 of these rules and regulations.

[Note: The rules and regulations set forth in Chapters 8 and 9 of these rules and regulations establish the responsibilities of generators and transporters of hazardous waste in the handling, transportation, and management of that waste. In these rules and regulations, the DEQ has expressly adopted certain regulations of the Department of Transportation (DOT) governing the transportation of hazardous materials. These regulations concern, among other things, labeling, marking, placarding, using proper containers, and reporting discharges. The DEQ has expressly adopted these rules and regulations in order to satisfy its statutory obligation to promulgate regulations which are necessary to protect human health and the environment in the transportation of hazardous waste. The DEQ's adoption of these DOT regulations ensures consistency with the requirements of DOT and thus avoids the establishment of duplicative or conflicting requirements with respect to these matters. These Wyoming Hazardous Waste Management rules and regulations which apply to both interstate and intrastate transportation of hazardous waste are enforceable by the DEQ.

DOT has revised its hazardous materials transportation regulations in order to encompass the transportation of hazardous waste and to regulate intrastate, as well as interstate, transportation of hazardous waste. Transporters of hazardous waste are cautioned that DOT's regulations are fully applicable to their activities and enforceable by DOT. These DOT regulations are codified in title 49, Code of Federal Regulations, subchapter C.

EPA and DOT worked together to develop standards for transporters of hazardous waste in order to avoid conflicting requirements. Except for transporters of bulk shipments of hazardous waste by water, a transporter who meets all applicable requirements of 49 CFR parts 171 through 179 and the requirements of Sections 1(b) and 3(b) of this Chapter will be deemed in compliance with this Chapter. Regardless of DOT's action, the DEQ retains its authority to enforce these regulations.]

263.10(b) (ii) These rules and regulations do not apply to on-site transportation of hazardous waste by generators or by owners or operators of permitted hazardous waste management facilities.

263.10(c) (iii) A transporter of hazardous waste must also comply

with Chapter 8 of these rules and regulations, Standards Applicable to Generators of Hazardous Waste, if he or she:

- 263.10(c)(1) (A) Transports hazardous waste into the United States from abroad; or
- 263.10(c)(2) (B) Mixes hazardous wastes of different DOT shipping descriptions by placing them into a single container.
- 263.10(d) (iv) A transporter of hazardous waste subject to the manifesting requirements of Chapter 8 of these rules and regulations or subject to the waste management standards of Chapter 14 of these rules and regulations, that is being imported from or exported to any of the countries in Chapter 1, Section 1(f)(i) of these rules and regulations for purpose of recovery is subject to Section 1 of this Chapter and to all other relevant requirements subpart H of 40 CFR part 262 (see Chapter 8, Section 1(a)(iv) of these rules and regulations), but not limited to 40 CFR 262.84 for tracking documents.
- 263.10(e) (v) The regulations in this Chapter do not apply to transportation during an explosives or munitions emergency response, conducted in accordance with Chapter 10, Section 1(a)(vii)(H)(I)(4.) or Section 1(a)(vii)(H)(IV); or Chapter 11, Section 1(a)(iii)(K)(I)(4.) or Section 1(a)(iii)(K)(IV) and Chapter 1, Section 1(h)(iii)(C)(I)(D) or (C)(III) of these rules and regulations.
- 263.10(f) (vi) Chapter 12, Section 19 (d) of this Chapter identifies how the requirements of this Chapter apply to military munitions classified as waste material under Chapter 12, Section 19(c).
- 263.11 (b) EPA IDENTIFICATION NUMBER.
- 263.11(a) (i) A transporter must not transport hazardous wastes without having received an EPA identification number from the Director.
- 263.11(b) (ii) A transporter who has not received an EPA identification number may obtain one by applying to the Department using EPA Form 8700-12. Upon receiving the request, the Director will assign an EPA identification number to the transporter.
- 263.12 (c) TRANSFER FACILITY REQUIREMENTS.
- (i) A transporter who stores manifested shipments of hazardous waste in containers meeting the requirements of Chapter 8, Section 3(a) of these rules and regulations at a transfer facility for a period of ten days or less is not subject to regulation under Chapter 1, Sections 1(h)-1(j); Chapter 3, Section 2; Chapter 4; Chapter 5; Chapter 6, Section 2; Chapter 7; Chapter 10; Chapter 11; and Chapter 13 of these rules and regulations with respect to the storage of those wastes.

263/Subpart B Section 2. COMPLIANCE WITH THE MANIFEST SYSTEM AND RECORDKEEPING.

263.20 (a) THE MANIFEST SYSTEM.

263.20(a) (i) A transporter may not accept hazardous waste from a generator unless it is accompanied by a manifest signed in accordance with the provisions of Chapter 8, Section 2(a) of these rules and regulations. In the case of exports other than those subject subpart H of 40 CFR 262 (see Chapter 8, Section 1 (a)(iv) of these rules and regulations), a transporter may not accept such waste from a primary exporter or other person if he or she knows the shipment does not conform to the EPA Acknowledgment of Consent; and unless, in addition to a manifest signed in accordance with the provisions of Chapter 8, Section 2(a) of these rules and regulations, such waste is also accompanied by an EPA Acknowledgment of Consent which, except for shipment by rail, is attached to the manifest (or shipping paper for exports by water [bulk shipment]). For exports of hazardous waste subject to subpart H of 40 CFR part 262 (see Chapter 8, Section 1(a)(iv) of these rules and regulations), a transporter may not accept hazardous waste without a tracking document that included all information required by Chapter 8, Section 8(e).

263.20(b) (ii) Before transporting the hazardous waste, the transporter must sign and date the manifest acknowledging acceptance of the hazardous waste from the generator. The transporter must return a signed copy to the generator before leaving the generator's property.

263.20(c) (iii) The transporter must ensure that the manifest accompanies the hazardous waste. In the case of exports, the transporter must ensure that a copy of the EPA Acknowledgment of Consent also accompanies the hazardous waste.

263.20(d) (iv) A transporter who delivers a hazardous waste to another transporter or to the designated facility must:

263.20(d)(1) (A) Obtain the date of delivery and the handwritten signature of that transporter or of the owner or operator of the designated facility on the manifest; and

263.20(d)(2) (B) Retain one (1) copy of the manifest in accordance with Section 2(c) of this Chapter; and

263.20(d)(3) (C) Give the remaining copies of the manifest to the accepting transporter or designated facility.

263.20(e) (v) The requirements of Sections 2(a)(iii), (iv), and (vi) of this Chapter do not apply to water (bulk shipment) transporters if:

263.20(e)(1) (A) The hazardous waste is delivered by water (bulk shipment) to the designated facility; and

263.20(e)(2) (B) A shipping paper containing all the information required on the manifest (excluding the EPA identification numbers, generator certification, and signatures) and, for exports, an EPA Acknowledgment of Consent accompanies the hazardous waste; and

263.20(e)(3) (C) The delivering transporter obtains the date of delivery and handwritten signature of the owner or operator of the designated facility on either the manifest or the shipping paper; and

263.20(e)(4) (D) The person delivering the hazardous waste to the initial water (bulk shipment) transporter obtains the date of delivery and signature of the water (bulk shipment) transporter on the manifest and forwards it to the designated facility; and

(E) A copy of the shipping paper or manifest is retained by each water (bulk shipment) transporter in accordance with Section 2(c) of this Chapter.

C263.20(f) (vi) For shipments involving rail transportation, the requirements of Sections 2(a)(iii), (iv), and (v) of this Chapter do not apply and the following requirements do apply:

263.20(f)(1) (A) When accepting hazardous waste from a non-rail transporter, the initial rail transporter must:

...(i) (I) Sign and date the manifest acknowledging acceptance of the hazardous waste;

...(ii) (II) Return a signed copy of the manifest to the non-rail transporter;

...(iii) (III) Forward at least three (3) copies of the manifest to:

...(iii)(A) (1.) The next non-rail transporter, if any; or,

...(iii)(B) (2.) The designated facility, if the shipment is delivered to that facility by rail; or

...(iii)(C) (3.) The last rail transporter designated to handle the waste in the United States;

...(iv) (IV) Retain one (1) copy of the manifest and rail shipping paper in accordance with Section 2(c) of this Chapter.

263.20(f)(2) (B) Rail transporters must ensure that a shipping paper containing all the information required on the manifest (excluding the EPA identification numbers, generator certification, and signatures) and, for exports an EPA Acknowledgment of Consent accompanies the hazardous waste at all times.

[Note: Intermediate rail transporters are not required to sign either the manifest or shipping paper.]

263.20(f)(3) (C) When delivering hazardous waste to the designated facility, a rail transporter must:

...(i) (I) Obtain the date of delivery and handwritten signature of the owner or operator of the designated facility on the manifest or the shipping paper (if the manifest has not been received by the facility); and

- ... (ii) (II) Retain a copy of the manifest or signed shipping paper in accordance with Section 2(c) of this Chapter.
- 263.20(f)(4) (D) When delivering hazardous waste to a non-rail transporter a rail transporter must:
 - ... (i) (I) Obtain the date of delivery and the handwritten signature of the next non-rail transporter on the manifest; and
 - ... (ii) (II) Retain a copy of the manifest in accordance with Section 2(c) of this Chapter.
- 263.20(f)(5) (E) Before accepting hazardous waste from a rail transporter, a non-rail transporter must sign and date the manifest and provide a copy to the rail transporter.
- 263.20(g) (vii) Transporters who transport hazardous waste out of the United States must:
 - 263.20(g)(1) (A) Indicate on the manifest the date the hazardous waste left the United States; and
 - 263.20(g)(2) (B) Sign the manifest and retain one (1) copy in accordance with Section 2(c)(iii) of this Chapter; and
 - 263.20(g)(3) (C) Return a signed copy of the manifest to the generator; and
 - 263.20(g)(4) (D) Give a copy of the manifest to a U.S. Customs official at the point of departure from the United States.
- 263.20(h) (viii) A transporter transporting hazardous waste from a generator who generates greater than 100 kilograms but less than 1000 kilograms of hazardous waste in a calendar month need not comply with the requirements of Section 2(a) of this Chapter or those of Section 2(c) of this Chapter provided that:
 - 263.20(h)(1) (A) The waste is being transported pursuant to a reclamation agreement as provided for in Chapter 8, Section 2(a)(v) of these rules and regulations.
 - 263.20(h)(2) (B) The transporter records, on a log or shipping paper, the following information for each shipment:
 - ... (i) (I) The name, address, and U.S. EPA Identification Number of the generator of the waste;
 - ... (ii) (II) The quantity of waste accepted;
 - ... (iii) (III) All DOT-required shipping information;
 - ... (iv) (IV) The date the waste is accepted; and
 - 263.20(h)(3) (C) The transporter carries this record when transporting waste to the reclamation facility; and
 - 263.20(h)(4) (D) The transporter retains these records for a period of at least three (3) years after termination or expiration of

the agreement.

263.21 (b) COMPLIANCE WITH THE MANIFEST.

263.21(a) (i) The transporter must deliver the entire quantity of hazardous waste which he or she has accepted from a generator or a transporter to:

263.21(a)(1) (A) The designated facility listed on the manifest; or

263.21(a)(2) (B) The alternate designated facility, if the hazardous waste cannot be delivered to the designated facility because an emergency prevents delivery; or

263.21(a)(3) (C) The next designated transporter; or

263.21(a)(4) (D) The place outside the United States designated by the generator.

263.21(b) (ii) If the hazardous waste cannot be delivered in accordance with Section 2(b)(i) of this Chapter, the transporter must contact the generator for further directions and must revise the manifest according to the generator's instructions.

263.22 (c) RECORDKEEPING.

263.22(a) (i) A transporter of hazardous waste must keep a copy of the manifest signed by the generator, himself or herself, and the next designated transporter or the owner or operator of the designated facility for a period of three (3) years from the date the hazardous waste was accepted by the initial transporter.

263.22(b) (ii) For shipments delivered to the designated facility by water (bulk shipment), each water (bulk shipment) transporter must retain a copy of the shipping paper containing all the information required in Section 2(a)(v)(B) of this Chapter for a period of three (3) years from the date the hazardous waste was accepted by the initial transporter.

263.22(c) (iii) For shipments of hazardous waste by rail within the United States:

...(i) (A) The initial rail transporter must keep a copy of the manifest and shipping paper with all the information required in Section 2(a)(vi)(B) of this Chapter for a period of three (3) years from the date the hazardous waste was accepted by the initial transporter; and

...(ii) (B) The final rail transporter must keep a copy of the signed manifest (or the shipping paper if signed by the designated facility in lieu of the manifest) for a period of three (3) years from the date the hazardous waste was accepted by the initial transporter.

[Note: Intermediate rail transporters are not required to keep records pursuant to these rules and regulations].

263.22(d) (iv) A transporter who transports hazardous waste out of

the United States must keep a copy of the manifest indicating that the hazardous waste left the United States for a period of three (3) years from the date the hazardous waste was accepted by the initial transporter.

263.22(e) (v) The periods of retention referred to in Section 2(c) of this Chapter are extended automatically during the course of any unresolved enforcement action regarding the regulated activity or as requested by the Director.

263/Subpart C Section 3. HAZARDOUS WASTE DISCHARGES.

263.30 (a) IMMEDIATE ACTION.

263.30(a) (i) In the event of a discharge of hazardous waste during transportation, the transporter must take appropriate immediate action to protect human health and the environment (e.g., notify local authorities, dike the discharge area).

263.30(b) (ii) If a discharge of hazardous waste occurs during transportation and an official (State or local government or a Federal Agency) acting within the scope of his or her official responsibilities determines that immediate removal of the waste is necessary to protect human health or the environment, that official may authorize the removal of the waste by transporters who do not have EPA identification numbers and without the preparation of a manifest.

263.30(c) (iii) An air, rail, highway, or water transporter who has discharged hazardous waste must:

263.30(c)(1) (A) Give notice, if required by 49 CFR 171.15, to the National Response Center (800-424-8802 or 202-426-2675); and

263.30(c)(2) (B) Report in writing as required by 49 CFR 171.16 to the Director, Office of Hazardous Materials Regulations, Materials Transportation Bureau, Department of Transportation, Washington, DC 20590.

(C) Notice shall also be given to the Director, Department of Environmental Quality, 122 W. 25th Street, Cheyenne, WY 82002 (307)777-7781.

263.30(d) (iv) A water (bulk shipment) transporter who has discharged hazardous waste must give the same notice as required by 33 CFR 153.203 for oil and hazardous substances.

263.31 (b) DISCHARGE CLEAN UP.

(i) A transporter must clean up any hazardous waste discharge that occurs during transportation or take such action as may be required or approved by Federal, State, or local officials so that the hazardous waste discharge no longer presents a hazard to human health or the environment.